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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re the application of: Gefter, Malcolm L.

Serial No.: 10/080532

Filed: February 22, 2002

For: METHODS FOR IDENTIFYING PEPTIDES
WHICH MODULATE A BIOLOGICAL PROCESS

Attorney Docket No.: PPI-107

Group Art Unit: 1632

Examiner: Not yet assigned.

Commissioner for Patents

Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

November 20, 2002

Date of Signature and of Mail Deposit

By:

Maria Laccotripe Zacharakis, Ph.D.
Attorney for Applicant
Limited Recognition Under 37 CFR
§10.9(b)

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

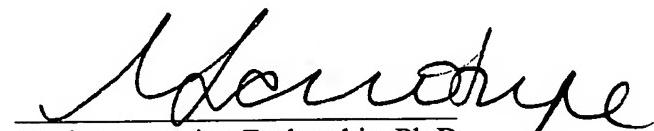
Applicant and his Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information

exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP



Maria Laccotripe Zacharakis, Ph.D.
Attorney for Applicant
Limited Recognition Under 37 CFR §10.9(b)

28 State Street
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Date: November 20, 2002

GAD/AEM/MCL/MCA/ham
Enclosures

APPLICANT FACSIMILE OF FORM PTO-1449

REV 7-80

U.S. DEPARTMENT OF
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SERIAL NO.

PPI-107

10/08/2002

APPLICANT

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Gefter, Malcolm L.

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FILING DATE

GROUP

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LIST OF PUBLICATIONS CITED BY APPLICANT
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,639,595	06/97	Mirabelli et al.	435	6	
	A2	5,723,323	03/98	Kauffman et al.	435	172.3	
	A3	5,837,460	11/98	Von Feldt et al.	435	6	
	A4	5,955,275	09/99	Kamb	435	6	
	A5	5,998,136	12/99	Kamb	435	6	
	A6	6,025,485	02/00	Kamb et al.	536	25.32	
	A7	6,117,632	09/00	O'Mahony	435	6	
	A8	6,153,380	11/00	Nolan et al.	435	6	
	A9	2001/0006778 A1	07/01	Benjamin et al.	435	5	
	A10	6,287,769 B1	09/01	Inoue	435	6	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A11	WO 92/09690 A2	06/92	WO			
	A12	WO 94/28424 A1	12/94	WO			
	A13	WO 96/40979 A1	12/96	WO			
	A14	WO 96/41180 A1	12/96	WO			
	A15	WO 97/22617 A1	06/97	WO			

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

A16		Zarbl, Helmut et al. "Functional In Vitro Assays for the Isolation of Cell Transformation Effector and Suppressor Genes" <i>Environmental Health Perspectives</i> 93:83-89 (1991).

Examiner _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Maria C. Laccotripe Zacharakis is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Lahive & Cockfield, LLP, to prepare and prosecute patent applications where the patent applicant is the client of Lahive & Cockfield, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Lahive & Cockfield, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Maria C. Laccotripe Zacharakis ceases to lawfully reside in the United States, (ii) Maria C. Laccotripe Zacharakis' employment with Lahive & Cockfield, LLP ceases or is terminated, or (iii) Maria C. Laccotripe Zacharakis ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: August 5, 2003



Harry I. Moatz
Director of Enrollment and Discipline



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Inventor: Gefter, Malcolm L.
Filed: February 22, 2002
Our Ref. No.: PPI-107

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (16);
4. A Statement of Limited Recognition Under 37 CFR §10.9(b); and
5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

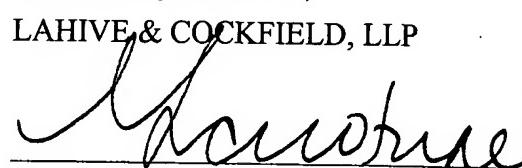
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Respectfully submitted,
LAHIVE & COCKFIELD, LLP


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